

CNNIWPSO: Convolutional Neural Network Inertia Weight based Particle Swarm Optimization

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ABSTRACT

Finding optimal solution is the prime moto of every optimization algorithm. Among various optimization algorithms Particle Swarm Optimization (PSO) earned a place as an optimization problem solving algorithm, due to its simplicity. Many researchers worked on PSO in improving the efficiency of the PSO. By tuning Inertia Weight, PSO converge to the optimal solution. In this paper a new inertia weight tuning PSO, Convolutional Neural Network based Inertia Weight Particle Swarm Optimization (CNNIWPSO) is proposed. The performance of the CNNIWPSO is compared with Constant Inertia Weight PSO (CIWPSO), Random Inertia Weight PSO (RWIPSO) and Linearly Decreasing Inertia Weight PSO (LDIWPSO). The results shows that CNNIWPSO is outperformed.

Key words : Particle Swarm Optimization, Inertia Weight, Convolutional Neural Network, Benchmark Functions, Convergence.

1. INTRODUCTION

The conventional computational techniques are unable to solve many complex problems. In the year 1995, Eberhart and Kennedy proposed an evolutionary computing technique, Particle Swarm Optimization (PSO) [1], for solving metaheuristic and stochastic problems. PSO is inspired by flock of birds, fish schooling. PSO is applied successfully in wide range of problems [3]-[8]. PSO can be applied to non-differentiable, non-linear, huge search space problems and gives the better results with a good efficiency [9].

In a PSO, the particle fly through the search space. Each particle is a candidate solution. The fitness function measures the performance of the particle. Different problems has different fitness functions. The birds find their food by randomly follow one of the members in the group which are in the nearest position to the food. The birds communicate each other to achieve best position. This process is iterated till food is found [10].

2. BASIC PSO ALGORITHM

In the Basic PSO (BPSO), a Swarm, S, consists of n particles represented as $S = \{P_1, P_2, P_3, \dots, P_n\}$. Each Particle P_i has a position in the search space represented by $PX_i = \{px_{i1}, px_{i2}, \dots, px_{iD}\}$, where D is D-dimensional search space. In the search space, each particle P_i moves with a velocity V_i , represented as $PV_i = \{pv_{i1}, pv_{i2}, pv_{i3}, \dots, pv_{iD}\}$. Each particle, P_i maintains its best position, Pb_i , represented as $Pb_i = \{pb_{i1}, pb_{i2}, \dots, pb_{iD}\}$. Among the population of all particles, the best particle is determined and represented as $P_g = \{pg_1, pg_2, pg_3, \dots, pg_D\}$. The basic equations with functioning of BPSO are given by (1) and (2).

$$pv_{id} = pv_{id} + c_1 * random() * (pb_i - px_{id}) + c_2 * Random() * (pg_i - px_{id}) \quad (1)$$

$$px_{id} = px_{id} + pv_{id} \quad (2)$$

where c_1 and c_2 are two acceleration coefficients, $random()$ and $Random()$ are two random functions in the $[0,1]$. pv_i is the clamped to a maximum velocity pv_{max} , the parameter given by the user. The first part of the (1) represents previous velocity, the second part is the cognition part of the particle, and the third part represents the cooperation among the particles [11].

As particles tends to explore the search space hugely, the velocities of the particles are limited to the constant pv_{max} [12]. The particle velocity is adjusted using

$$pv_{id} = \begin{cases} pv_{id} & \text{if } -pv_{max} \leq pv_{id} \leq pv_{max} \\ pv_{max} & \text{if } pv_{id} > pv_{max} \\ -pv_{max} & \text{if } pv_{id} < -pv_{max} \end{cases} \quad (3)$$

The value for pv_{max} is typically chosen as a fraction of the search space dimension shown as (4) [12] – [13], where δ is the velocity clamping factor.

$$pv_{max} = \delta (px_{max} - px_{min}) \text{ where } \delta \in (0, 1). \quad (4)$$

As the search space is bounded by the interval $[px_{min}, px_{max}]$, the velocity clamping [14] of the particle is in the interval $[-pv_{max}, pv_{max}]$, where $pv_{max} = \delta * (px_{max} - px_{min}) / 2$.

The flowchart for the BPSO is shown below i.e., in Figure 1:

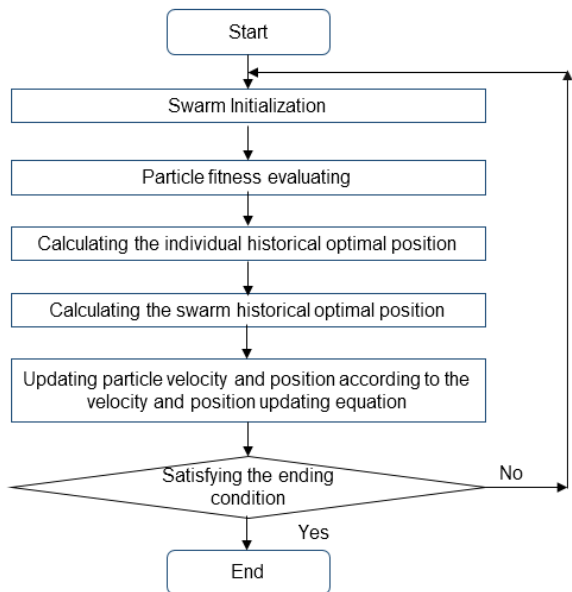


Figure 1: Flowchart of Basic PSO

The flowchart has three parts, the first part is local, second part is based on vicinity and the last part on global.

The pseudocode for Basic PSO is given below:

Step 1:

Initialization

For each particle, P_i , in the population

Initialize px_i with uniform distribution

Initialize pv_i randomly.

Evaluate the objective function of px_i and assigned the value to $fitness[i]$.

Initialize $pbest_i$ with a copy of px_i .

Initialize $pbest_fitness_i$ with a copy of $fitness_i$.

Initialize $pgbest$ with index of the particle with the least fitness.

Step 2:

Repeat until stopping criterion is reached

For each particle, P_i ,

Update pv_i and px_i according to the equations (1) and (2)

Evaluate $fitness_i$

If $fitness_i < pbest_fitness_i$ then

$Pbest_i = px_i$

$Pbest_fitness_i = fitness_i$

Update $pgbest$ by the particle with current least fitness among the population

Figure 2: Pseudocode of Basic PSO

3. INERTIA WEIGHT BASED PSO

Shi and Eberhart [2], developed Inertia Weight Based PSO to control exploration and exploitation. Equation (1) resulting as (5).

$$pv_{id} = w * pv_{id} + c_1 * random() * (pb_i - px_{id}) + c_2 * Random() * (pg_i - px_{id})$$

(5)

J.C.Bansal et al [15] discussed various strategies of Inertia Weight Based PSO. Constant Inertia Weight PSO (CIWPSO) [15], Random Inertia Weight PSO (RIWPSO) [17] and Linear Decreasing Inertia Weight PSO (LDIWPSO) [18] are considered for comparison with proposed Convolutional Neural Network Inertia Weight PSO (CNNIWPSO). In [19]-[21], it is discussed about setting of parameters for a PSO for reaching optimization solution.

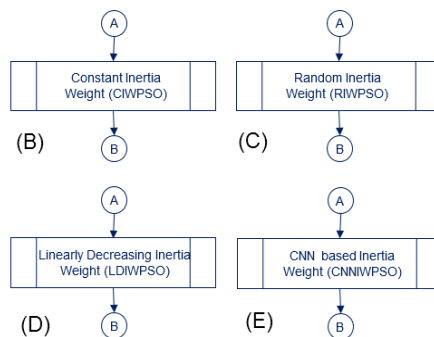
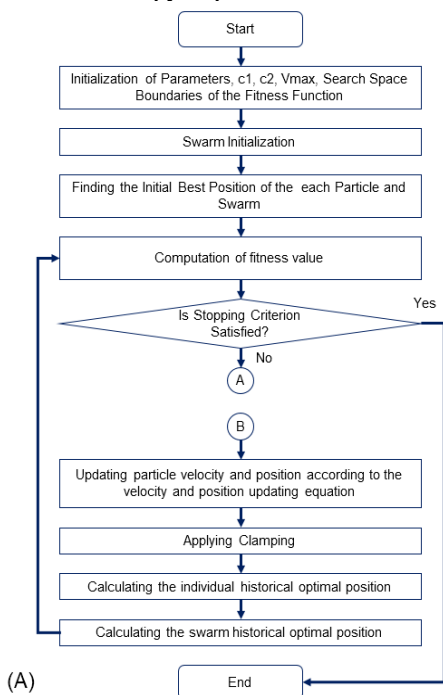


Figure 3: (A) and (B) shows the implementation CIWPSO, (A) and (C) shows the implementation of RIWPSO, (A) and (D) shows the implementation of LDIWPSO and, (A) and (E) depicts the CNNIWPSO.

4. CONVOLUTIONAL NEURAL NETWORK INERTIA WEIGHT PSO (CNNIWPSO)

In CNNIWPSO, the new inertia weight is computed using univariate Convolutional Neural Network. Initially, CNN is trained with different inertia weights from 0.05 to 1.00. In every iteration new inertia weight is predicted. The predicted IW is used to move the swarm using (5) and (2). The process is terminated when stopping criterion is reached.

5. EXPERIMENTAL RESULTS

Experiments are conducted with different Inertia Weight based PSOs, CIWPSO, RIWPSO, LDIWPSO and CNNIWPSO over different optimization test problems.

A. Parameter Setting

Swarm sizes with 50, 75 and 100 particles with different dimensions, 10, 15 and 25 are considered for conducting experiments. In total, 15 simulations are run to reduce the effect of the randomness.

B. Results

The results are collected from the perspective of best error, mean error, variance, standard deviation, mean square error, root mean square error, mean iterations and mean time taken (in seconds) for the simulations. The results are tabulated from table 2 to table 9 and plotted from the fig 4 to fig 11. The details about the benchmark functions are shown in Table 1 which are used for computing fitness.

Table 1: Benchmark Functions

Benchmark Function name	Properties	Benchmark Function	Interval	Best fitness value at
Ackley	n-dimensional, continuous, multimodal, non-convex, differentiable	$-20 \exp\left(-0.2 \sqrt{\frac{1}{n} \sum_{d=1}^n p_{os}_d^2} - \exp\left(\frac{1}{n} \sum_{d=1}^n \cos(2\pi p_{os}_d)\right) + 20 + \exp(1)\right)$	[-32, +32]	f(0) = 0
Alpine	n-dimensional, non-separable, multimodal, non-convex, differentiable	$\sum_{d=1}^n p_{os}_d \cdot \sin(p_{os}_d) + 0.1 p_{os}_d $	[0, 10]	f(0) = 0
Rastrigin	n-dimensional, continuous, differentiable, separable, multimodal, convex	$10 \cdot n + \sum_{d=1}^n (p_{os}_d^2 - 10 \cdot \cos(2\pi p_{os}_d))$	[-5.12, +5.12]	f(0) = 0
Rosenbrock	n-dimensional, continuous, differentiable, non-separable, multimodal, non-convex	$\sum_{d=1}^n [100 \cdot (p_{os}_{d+1} - p_{os}_d^2)^2 + (1 - p_{os}_d)^2]$	[-5, 10]	f(1) = 0
Sphere	n-dimensional, continuous, convex, differentiable, unimodal, separable	$\sum_{d=1}^n p_{os}_d^2$	[-5.12, +5.12]	f(0) = 0

Table 2: Computed Best Error for PSOs with respect different Swarm Sizes and Dimensions. (Figure 4)

Swarm Size	Dimension	BMF	PSOs				
			CIWPSO	RIWPSO	LDIWPSO	CNNIWPSO	
50	10	Ackley	1.0000E-05	1.0000E-05	1.0000E-05	3.2000E-05	
		Alpine	0.0000E+00	0.0000E+00	0.0000E+00	0.0000E+00	
		Rastrigin	8.0000E-06	1.0000E-05	9.0000E-06	8.0000E-06	
		Rosenbrock	6.0000E-06	8.0000E-06	7.0000E-06	6.0000E-06	
		Sphere	9.0000E-06	1.0000E-05	8.0000E-06	1.0000E-05	
		Ackley	1.5640E-03	1.4158E-02	8.0190E-03	1.3987E-02	
	15	Alpine	0.0000E+00	0.0000E+00	0.0000E+00	0.0000E+00	
		Rastrigin	3.7000E-05	4.8000E-05	2.7500E-04	2.9600E-04	
		Rosenbrock	1.3000E-05	1.8000E-05	5.8000E-05	1.2500E-04	
		Sphere	7.8000E-05	6.4000E-05	9.3000E-05	8.5600E-04	
		Ackley	1.7884E-01	4.1187E-01	4.1186E-01	1.1538E+00	
		Alpine	0.0000E+00	0.0000E+00	0.0000E+00	0.0000E+00	
	25	Rastrigin	1.8620E-03	4.2530E-03	1.8550E-02	4.6820E-03	
		Rosenbrock	2.7300E-04	6.1300E-04	1.6680E-03	2.8840E-03	
		Sphere	1.4920E-03	3.5220E-03	1.0627E-02	1.1868E-02	
		Ackley	9.0000E-06	9.0000E-06	9.0000E-06	9.0000E-06	
		Alpine	0.0000E+00	0.0000E+00	0.0000E+00	0.0000E+00	
		Rastrigin	7.0000E-06	7.0000E-06	7.0000E-06	8.0000E-06	
75	10	Rosenbrock	7.0000E-06	7.0000E-06	5.0000E-06	8.0000E-06	
		Sphere	8.0000E-06	8.0000E-06	9.0000E-06	8.0000E-06	
		Ackley	8.4400E-04	2.4900E-04	2.2180E-03	1.5890E-03	
		Alpine	0.0000E+00	0.0000E+00	0.0000E+00	0.0000E+00	
		Rastrigin	1.0000E-05	1.2000E-05	1.0000E-05	3.2000E-05	
		Rosenbrock	9.0000E-06	2.0000E-05	1.0000E-05	7.0000E-06	
	15	Sphere	1.0000E-05	2.5000E-05	5.2000E-05	6.7000E-05	
		Ackley	2.8523E-02	5.7368E-02	5.9241E-02	2.1002E-01	
		Alpine	0.0000E+00	0.0000E+00	0.0000E+00	0.0000E+00	
		Rastrigin	1.0300E-03	1.6660E-03	3.0060E-03	1.3860E-02	
		Rosenbrock	8.0000E-05	2.4300E-04	9.3600E-04	1.9030E-03	
		Sphere	4.9100E-04	1.4320E-03	5.8980E-03	1.4345E-02	
	100	10	Ackley	9.0000E-06	9.0000E-06	5.0000E-06	8.0000E-06
			Alpine	0.0000E+00	0.0000E+00	0.0000E+00	0.0000E+00
			Rastrigin	9.0000E-06	7.0000E-06	7.0000E-06	7.0000E-06
			Rosenbrock	8.0000E-06	8.0000E-06	7.0000E-06	5.0000E-06
			Sphere	8.0000E-06	4.0000E-06	8.0000E-06	8.0000E-06
			Ackley	1.0000E-05	5.4400E-04	1.1900E-04	1.1800E-03
15		Alpine	0.0000E+00	0.0000E+00	0.0000E+00	0.0000E+00	
		Rastrigin	1.0000E-05	1.6000E-05	9.0000E-06	1.0000E-05	
		Rosenbrock	1.0000E-05	9.0000E-06	9.0000E-06	1.0000E-05	
		Sphere	1.0000E-05	1.0000E-05	9.0000E-06	1.8000E-05	
		Ackley	1.3386E-02	4.7314E-02	5.9322E-02	6.5859E-02	
		Alpine	0.0000E+00	0.0000E+00	0.0000E+00	0.0000E+00	
25		Rastrigin	5.2700E-04	1.3260E-03	1.8870E-03	2.2400E-03	
		Rosenbrock	4.9000E-05	5.8000E-05	5.0200E-04	9.5500E-04	
		Sphere	4.7000E-04	2.8300E-04	2.5600E-03	8.7190E-03	

Comparison of PSOs with Best Error

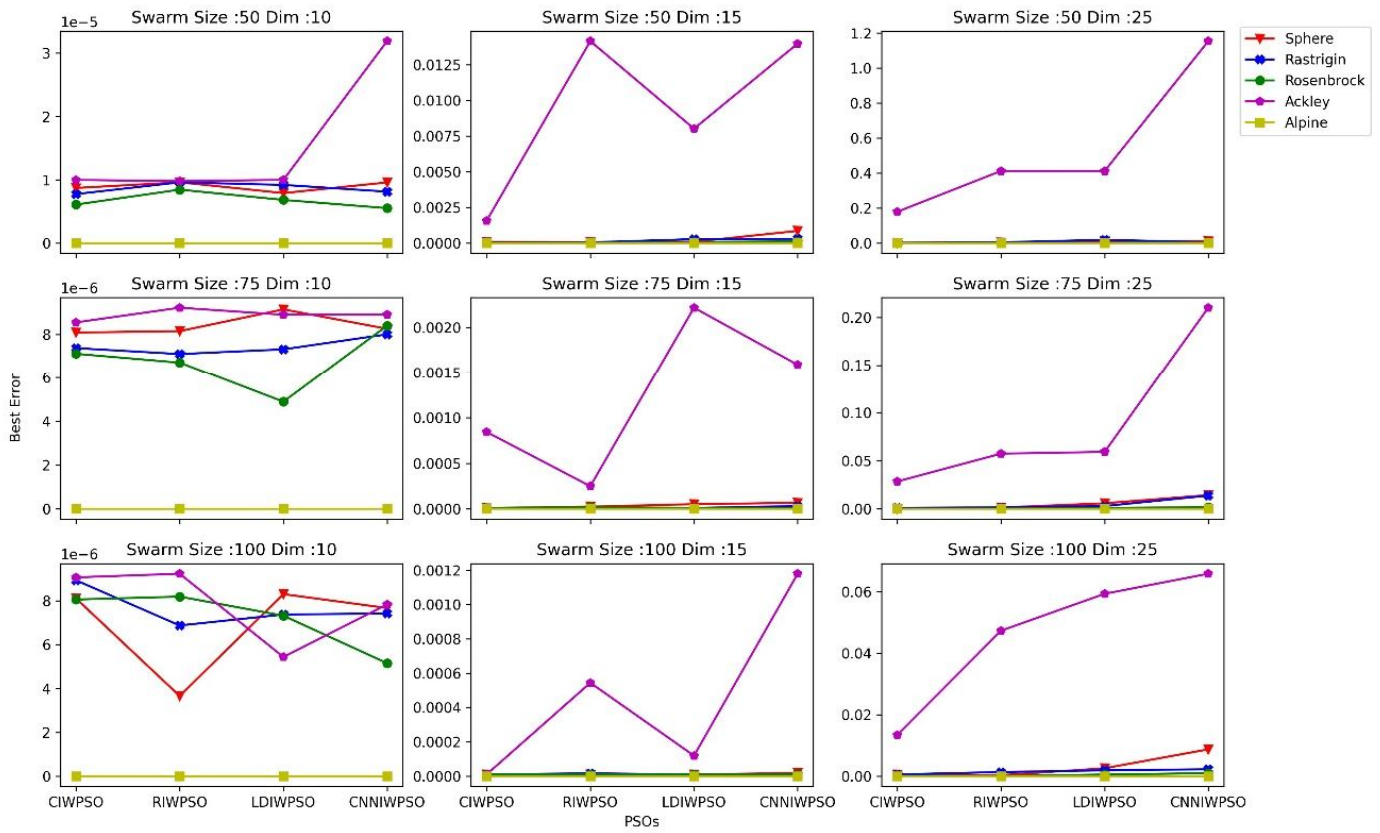


Figure 4: Best Error computed for the swarm size of 50, 75 and 100 with dimensions 10, 15 and 25

From Table 2 and Figure 4, for the benchmark functions, Ackley, Rosenbrock and sphere, it is observed that the best error obtained for CNNWPSO is comparatively nearer to the other models convergence. For Alpine, the CNNIWPSO is

converged as other models. For Rastrigin, with increasing swarm sizes and dimensions, the best error obtained is notable when compared with other models.

Table 3: Computed Mean Error for PSOs with respect different Swarm Sizes and Dimensions. (Figure 5)

Swarm Size	Dimension	BMF	PSOs				
			CIWPSO	RIWPSO	LDIWPSO	CNNIWPSO	
50	10	Ackley	3.6492E+00	4.8287E+00	7.7269E+00	2.3888E+00	
		Alpine	5.2831E+01	4.6940E+01	5.4715E+01	4.2141E+01	
		Rastrigin	1.9491E-01	1.4830E-01	2.5884E-01	9.9415E-02	
		Rosenbrock	5.4788E-02	3.2068E-02	6.8679E-02	2.6618E-02	
		Sphere	1.6950E-01	1.2870E-01	2.8420E-01	9.3068E-02	
	15	Ackley	9.0266E+00	1.2882E+01	1.7771E+01	7.5579E+00	
		Alpine	9.5725E+01	8.4866E+01	1.0453E+02	6.8968E+01	
		Rastrigin	2.2103E-01	3.9361E-01	4.6333E-01	1.9228E-01	
		Rosenbrock	4.4647E-02	5.3836E-02	7.7879E-02	2.6976E-02	
		Sphere	2.8604E-01	2.8935E-01	4.5313E-01	1.7540E-01	
		25	Ackley	3.2971E+01	5.2092E+01	5.4741E+01	2.6451E+01
			Alpine	1.3184E+02	1.5629E+02	1.4785E+02	1.0170E+02
Rastrigin	7.9071E-01		1.1214E+00	1.4719E+00	6.2260E-01		
Rosenbrock	1.2420E-01		1.7996E-01	2.2061E-01	1.0929E-01		
Sphere	7.7299E-01		1.1383E+00	1.3934E+00	6.5461E-01		
75	10	Ackley	5.7835E+00	3.8704E+00	7.1575E+00	3.6412E+00	
		Alpine	4.1195E+01	5.0880E+01	5.5471E+01	4.4675E+01	
		Rastrigin	2.4224E-01	2.0164E-01	3.3316E-01	3.2029E-01	
		Rosenbrock	5.5133E-02	2.7863E-02	5.6270E-02	7.2341E-02	
		Sphere	1.9867E-01	1.7552E-01	3.6113E-01	2.4171E-01	
	15	Ackley	6.8274E+00	1.0026E+01	1.4303E+01	4.9775E+00	
		Alpine	8.6647E+01	1.0121E+02	1.0323E+02	6.6537E+01	
		Rastrigin	2.3147E-01	2.7971E-01	3.6850E-01	1.2795E-01	
		Rosenbrock	4.1654E-02	3.8286E-02	6.5292E-02	2.1587E-02	
		Sphere	1.9299E-01	2.5492E-01	3.8445E-01	1.3245E-01	
		25	Ackley	2.3358E+01	3.5383E+01	3.9869E+01	1.8317E+01
			Alpine	1.0377E+02	1.4760E+02	1.7023E+02	1.1285E+02
Rastrigin	6.4827E-01		8.5586E-01	1.1381E+00	4.4350E-01		
Rosenbrock	8.9687E-02		1.5305E-01	1.7020E-01	7.5689E-02		
Sphere	5.9860E-01		9.1849E-01	1.0890E+00	4.6111E-01		
100	10	Ackley	4.7614E+00	5.1894E+00	8.6341E+00	7.8956E+00	
		Alpine	6.1785E+01	5.4256E+01	6.1046E+01	3.5174E+01	
		Rastrigin	1.7561E-01	1.5128E-01	2.9691E-01	2.7655E-01	
		Rosenbrock	4.7669E-02	2.5870E-02	5.5112E-02	6.2122E-02	
		Sphere	2.3748E-01	1.3589E-01	3.3865E-01	3.8471E-01	
	15	Ackley	6.3176E+00	7.6248E+00	1.2894E+01	4.2287E+00	
		Alpine	1.0084E+02	9.2082E+01	9.8943E+01	6.6237E+01	
		Rastrigin	1.8472E-01	1.9431E-01	3.3833E-01	1.1053E-01	
		Rosenbrock	3.7692E-02	4.4817E-02	6.9880E-02	1.8866E-02	
		Sphere	2.1054E-01	2.3791E-01	3.1015E-01	1.1799E-01	
		25	Ackley	2.0562E+01	2.5489E+01	3.6697E+01	1.4501E+01
			Alpine	1.3355E+02	1.8061E+02	1.6636E+02	1.0216E+02
Rastrigin	4.7189E-01		6.4692E-01	9.7412E-01	3.9204E-01		
Rosenbrock	8.7962E-02		1.1687E-01	1.3659E-01	6.2085E-02		
Sphere	5.0012E-01		5.6230E-01	8.8067E-01	3.7943E-01		

Comparison of PSOs with Mean Error

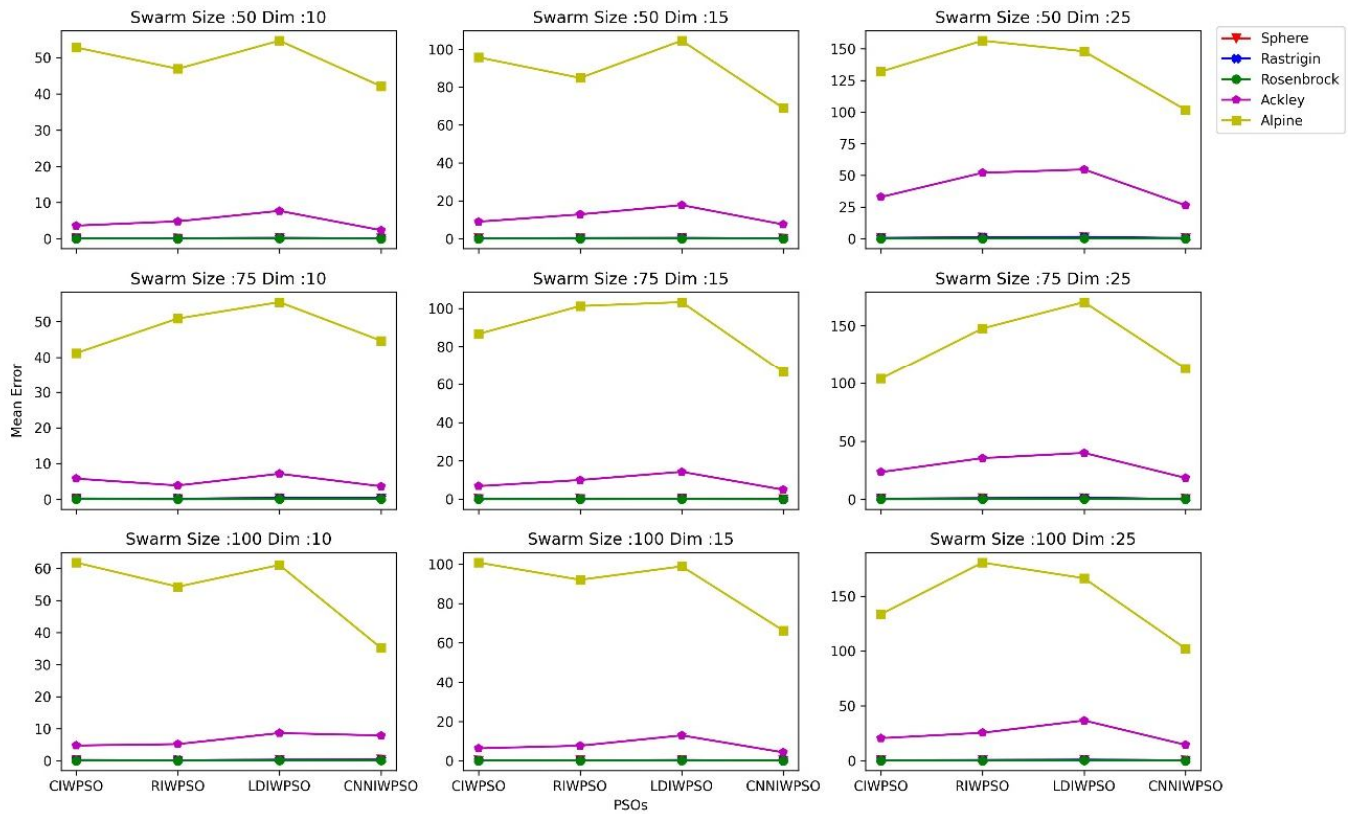


Figure 5: Mean Error computed for the swarm size of 50, 75 and 100 with dimensions 10, 15 and 25

From Table 3 and Figure 5, CNNIWPSO is outrival for the studied benchmark functions with increasing swarm sizes and dimensions, with respect to mean error

Table 4: Computed Variance for PSOs with respect different Swarm Sizes and Dimensions. (Figure 6)

Swarm Size	Dimension	BMF	PSOs			
			CIWPSO	RIWPSO	LDIWPSO	CNNIWPSO
50	10	Ackley	2.0742E+03	2.3211E+03	5.2282E+03	8.5928E+02
		Alpine	3.4779E+03	2.7706E+03	3.7017E+03	2.7489E+03
		Rastrigin	2.5551E+00	2.4912E+00	3.8072E+00	9.3641E-01
		Rosenbrock	1.3566E-01	6.4893E-02	1.7064E-01	3.7665E-02
		Sphere	2.8319E+00	1.9562E+00	4.0622E+00	8.5459E-01
	15	Ackley	7.8990E+03	1.1034E+04	1.7050E+04	4.1550E+03
		Alpine	1.1978E+04	9.7005E+03	1.4333E+04	7.6525E+03
		Rastrigin	4.9819E+00	9.0338E+00	1.1208E+01	2.4916E+00
		Rosenbrock	1.7790E-01	1.8475E-01	2.7734E-01	6.2758E-02
		Sphere	7.3874E+00	6.0451E+00	1.0039E+01	2.6722E+00
	25	Ackley	4.4147E+04	4.8260E+04	6.8625E+04	2.1149E+04
		Alpine	2.7758E+04	3.7306E+04	4.0710E+04	2.1629E+03
		Rastrigin	2.8679E+01	3.5251E+01	5.5838E+01	1.3696E+01
		Rosenbrock	7.8127E-01	7.6840E-01	1.2919E+00	3.5778E-01
		Sphere	3.2185E+01	3.4547E+01	5.2285E+01	1.4817E+01
75	10	Ackley	3.2329E+03	1.6534E+03	3.7019E+03	1.1790E+03
		Alpine	2.6275E+03	2.9863E+03	3.3332E+03	3.0911E+03
		Rastrigin	3.4479E+00	2.6589E+00	4.2136E+00	2.8323E+00
		Rosenbrock	1.2037E-01	5.9902E-02	1.2761E-01	1.0750E-01
		Sphere	2.7578E+00	2.2675E+00	6.5196E+00	1.8821E+00
	15	Ackley	6.4111E+03	8.5505E+03	1.1051E+04	2.8486E+03
		Alpine	1.0990E+04	1.1736E+04	1.2430E+04	7.7682E+03
		Rastrigin	6.0159E+00	6.1844E+00	7.9603E+00	1.8481E+00
		Rosenbrock	1.5541E-01	1.2363E-01	2.3267E-01	5.1811E-02
		Sphere	4.8801E+00	5.5035E+00	9.0839E+00	1.9854E+00
	25	Ackley	3.8895E+04	4.5532E+04	6.0800E+04	1.5344E+04
		Alpine	2.3632E+03	3.0962E+04	4.0510E+04	2.0621E+04
		Rastrigin	2.6194E+01	2.8591E+01	3.9536E+01	9.0635E+00
		Rosenbrock	5.4187E-01	7.9861E-01	9.8031E-01	2.5143E-01
		Sphere	2.4697E+01	2.6905E+01	3.7949E+01	1.0481E+01
100	10	Ackley	2.3993E+03	2.3529E+03	4.5123E+03	2.4679E+03
		Alpine	3.8224E+03	3.5482E+03	3.1984E+03	2.1321E+03
		Rastrigin	1.9674E+00	2.0647E+00	3.9164E+00	1.9696E+00
		Rosenbrock	9.8795E-02	5.0247E-02	1.0518E-01	7.1418E-02
		Sphere	2.7045E+00	1.6557E+00	4.7904E+00	2.9823E+00
	15	Ackley	5.9991E+03	6.3815E+03	1.1584E+04	2.0854E+03
		Alpine	1.1210E+04	1.0753E+04	1.0897E+04	7.3446E+03
		Rastrigin	4.2407E+00	4.0977E+00	6.9168E+00	1.4860E+00
		Rosenbrock	1.3515E-01	1.6768E-01	2.4625E-01	3.9636E-02
		Sphere	5.1469E+00	5.5297E+00	8.2649E+00	1.6979E+00
	25	Ackley	3.2497E+04	3.3093E+04	4.6154E+04	1.2714E+04
		Alpine	2.9151E+04	4.0348E+04	3.9757E+04	1.5633E+03
		Rastrigin	1.8973E+01	2.1542E+01	3.4920E+01	9.0575E+00
		Rosenbrock	5.1319E-01	6.9884E-01	7.5790E-01	2.2551E-01
		Sphere	1.8716E+01	1.7228E+01	3.0362E+01	8.9174E+00

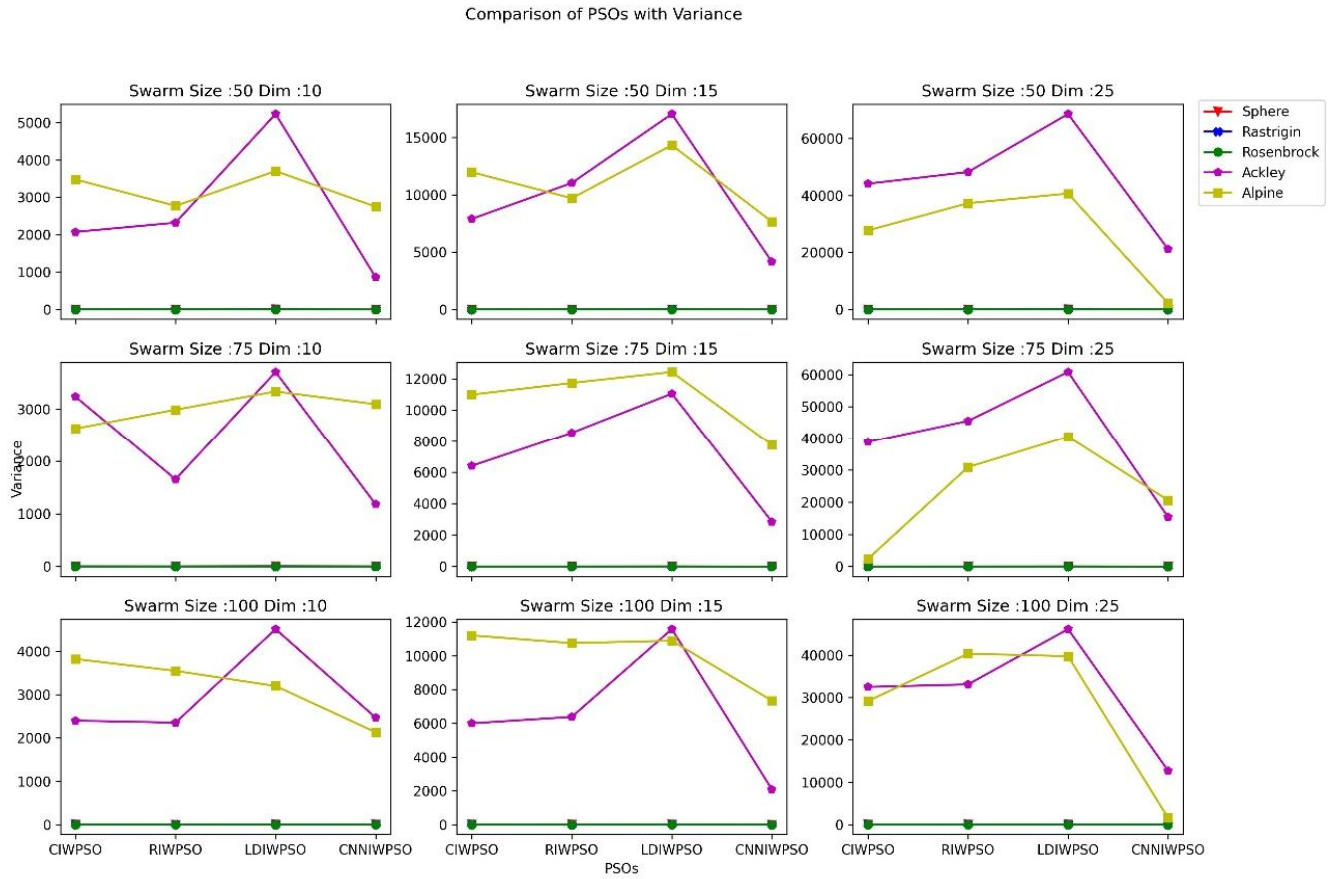


Figure 6: Variance computed for the swarm size of 50, 75 and 100 with dimensions 10, 15 and 25

Table 5: Computed Standard Deviation for PSOs with respect different Swarm Sizes and Dimensions. (Figure 7)

Swarm Size	Dimension	BMF	PSOs			
			CIWPSO	RIWPSO	LDIWPSO	CNNIWPSO
50	10	Ackley	4.5543E+01	4.8178E+01	7.2306E+01	2.9313E+01
		Alpine	5.8974E+01	5.2637E+01	6.0842E+01	5.2430E+01
		Rastrigin	1.5985E+00	1.5784E+00	1.9512E+00	9.6769E-01
		Rosenbrock	3.6832E-01	2.5474E-01	4.1309E-01	1.9408E-01
	15	Sphere	1.6828E+00	1.3986E+00	2.0155E+00	9.2444E-01
		Ackley	8.8876E+01	1.0504E+02	1.3058E+02	6.4460E+01
		Alpine	1.0944E+02	9.8491E+01	1.1972E+02	8.7478E+01
		Rastrigin	2.2320E+00	3.0056E+00	3.3478E+00	1.5785E+00
	25	Rosenbrock	4.2179E-01	4.2982E-01	5.2663E-01	2.5052E-01
		Sphere	2.7180E+00	2.4587E+00	3.1684E+00	1.6347E+00
		Ackley	2.1011E+02	2.1968E+02	2.6196E+02	1.4543E+02
		Alpine	1.6661E+02	1.9315E+02	2.0177E+02	4.6507E+01
75	10	Rastrigin	5.3553E+00	5.9373E+00	7.4725E+00	3.7008E+00
		Rosenbrock	8.8390E-01	8.7659E-01	1.1366E+00	5.9815E-01
		Sphere	5.6732E+00	5.8777E+00	7.2308E+00	3.8493E+00
		Ackley	5.6859E+01	4.0661E+01	6.0843E+01	3.4336E+01
15	Alpine	5.1259E+01	5.4647E+01	5.7734E+01	5.5598E+01	
	Rastrigin	1.8569E+00	1.6306E+00	2.0527E+00	1.6830E+00	
	Rosenbrock	3.4694E-01	2.4475E-01	3.5722E-01	3.2787E-01	
	Sphere	1.6607E+00	1.5058E+00	2.5534E+00	1.3719E+00	
25	Ackley	8.0070E+01	9.2469E+01	1.0512E+02	5.3373E+01	
	Alpine	1.0483E+02	1.0833E+02	1.1149E+02	8.8137E+01	
	Rastrigin	2.4527E+00	2.4869E+00	2.8214E+00	1.3594E+00	
	Rosenbrock	3.9422E-01	3.5161E-01	4.8236E-01	2.2762E-01	
100	10	Sphere	2.2091E+00	2.3459E+00	3.0139E+00	1.4090E+00
		Ackley	1.9722E+02	2.1338E+02	2.4658E+02	1.2387E+02
		Alpine	4.8613E+01	1.7596E+02	2.0127E+02	1.4360E+02
		Rastrigin	5.1180E+00	5.3471E+00	6.2878E+00	3.0106E+00
15	Rosenbrock	7.3612E-01	8.9365E-01	9.9011E-01	5.0143E-01	
	Sphere	4.9696E+00	5.1870E+00	6.1603E+00	3.2374E+00	
	Ackley	4.8983E+01	4.8507E+01	6.7174E+01	4.9678E+01	
	Alpine	6.1825E+01	5.9567E+01	5.6554E+01	4.6174E+01	
25	Rastrigin	1.4026E+00	1.4369E+00	1.9790E+00	1.4034E+00	
	Rosenbrock	3.1432E-01	2.2416E-01	3.2432E-01	2.6724E-01	
	Sphere	1.6445E+00	1.2868E+00	2.1887E+00	1.7269E+00	
	Ackley	7.7454E+01	7.9884E+01	1.0763E+02	4.5666E+01	
100	15	Alpine	1.0588E+02	1.0370E+02	1.0439E+02	8.5701E+01
		Rastrigin	2.0593E+00	2.0243E+00	2.6300E+00	1.2190E+00
		Rosenbrock	3.6763E-01	4.0949E-01	4.9624E-01	1.9909E-01
		Sphere	2.2687E+00	2.3515E+00	2.8749E+00	1.3030E+00
25	Ackley	1.8027E+02	1.8191E+02	2.1483E+02	1.1276E+02	
	Alpine	1.7074E+02	2.0087E+02	1.9939E+02	3.9539E+01	
	Rastrigin	4.3558E+00	4.6413E+00	5.9093E+00	3.0096E+00	
	Rosenbrock	7.1637E-01	8.3597E-01	8.7057E-01	4.7488E-01	
		Sphere	4.3262E+00	4.1507E+00	5.5101E+00	2.9862E+00

Comparison of PSOs with Standard Deviation

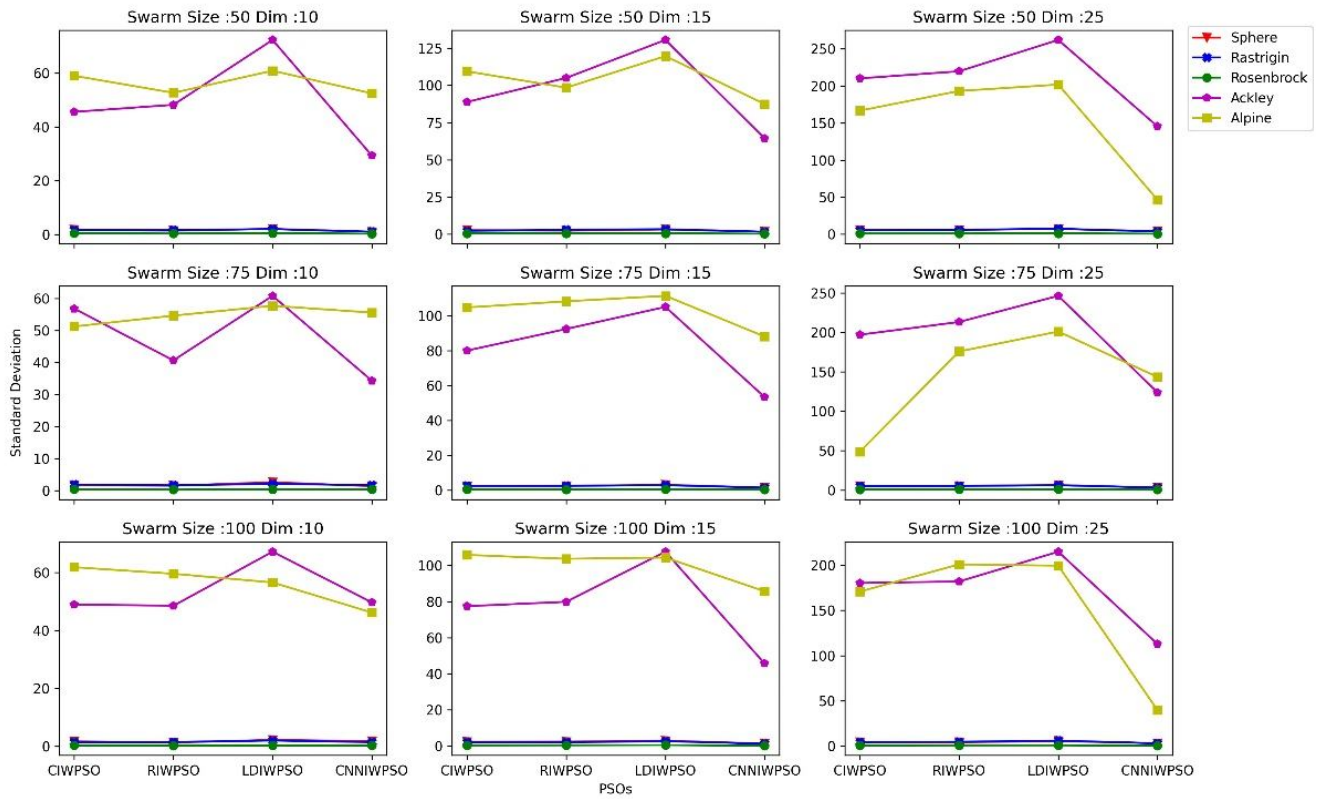


Figure 7: Standard Deviation computed for the swarm size of 50, 75 and 100 with dimensions 10, 15 and 25

Table 4, Table 5, Figure 6 Figure 7 shows that the CNIWPSO has the flair in terms of variance and standard deviation with increasing swarm sizes and dimensions.

Table 6: Computed Mean Iterations for PSOs with respect different Swarm Sizes and Dimensions. (Figure 8)

Swarm Size	Dimension	BMF	PSOs			
			CIWPSO	RIWPSO	LDIWPSO	CNNIWPSO
50	10	Ackley	9.8360E+02	9.2550E+02	9.5070E+02	1.0000E+03
		Alpine	5.0000E+00	4.9000E+00	5.1000E+00	5.9333E+00
		Rastrigin	4.2950E+02	6.6420E+02	7.2250E+02	5.7247E+02
		Rosenbrock	3.1850E+02	6.4790E+02	4.6320E+02	3.5520E+02
		Sphere	5.7760E+02	8.8540E+02	6.7550E+02	6.8513E+02
	15	Ackley	1.0000E+03	1.0000E+03	1.0000E+03	1.0000E+03
		Alpine	6.7000E+00	6.6000E+00	5.4000E+00	1.2200E+01
		Rastrigin	1.0000E+03	1.0000E+03	1.0000E+03	1.0000E+03
		Rosenbrock	1.0000E+03	1.0000E+03	1.0000E+03	1.0000E+03
		Sphere	1.0000E+03	1.0000E+03	1.0000E+03	1.0000E+03
	25	Ackley	1.0000E+03	1.0000E+03	1.0000E+03	1.0000E+03
		Alpine	1.0700E+01	8.2000E+00	8.5000E+00	1.4687E+02
		Rastrigin	1.0000E+03	1.0000E+03	1.0000E+03	1.0000E+03
		Rosenbrock	1.0000E+03	1.0000E+03	1.0000E+03	1.0000E+03
		Sphere	1.0000E+03	1.0000E+03	1.0000E+03	1.0000E+03
75	10	Ackley	5.8230E+02	6.7690E+02	7.8380E+02	4.5020E+02
		Alpine	5.8000E+00	4.0000E+00	4.1000E+00	5.0667E+00
		Rastrigin	3.3310E+02	4.7770E+02	4.2040E+02	1.5540E+02
		Rosenbrock	2.3050E+02	4.7880E+02	3.9630E+02	1.1453E+02
		Sphere	3.8000E+02	4.3010E+02	4.2160E+02	1.9653E+02
	15	Ackley	1.0000E+03	1.0000E+03	1.0000E+03	1.0000E+03
		Alpine	6.5000E+00	5.8000E+00	5.1000E+00	7.1333E+00
		Rastrigin	9.1500E+02	1.0000E+03	9.4610E+02	1.0000E+03
		Rosenbrock	7.8950E+02	1.0000E+03	8.8900E+02	9.4580E+02
		Sphere	9.5170E+02	1.0000E+03	1.0000E+03	1.0000E+03
	25	Ackley	1.0000E+03	1.0000E+03	1.0000E+03	1.0000E+03
		Alpine	1.0610E+02	8.7000E+00	7.0000E+00	1.4933E+01
		Rastrigin	1.0000E+03	1.0000E+03	1.0000E+03	1.0000E+03
		Rosenbrock	1.0000E+03	1.0000E+03	1.0000E+03	1.0000E+03
		Sphere	1.0000E+03	1.0000E+03	1.0000E+03	1.0000E+03
100	10	Ackley	4.9970E+02	5.9840E+02	5.1280E+02	1.7227E+02
		Alpine	3.8000E+00	4.1000E+00	4.0000E+00	3.9333E+00
		Rastrigin	3.4610E+02	5.3120E+02	4.0780E+02	1.2327E+02
		Rosenbrock	2.0200E+02	4.8650E+02	3.7890E+02	9.4067E+01
		Sphere	2.5060E+02	6.1100E+02	4.0150E+02	1.1447E+02
	15	Ackley	9.8310E+02	1.0000E+03	1.0000E+03	1.0000E+03
		Alpine	4.8000E+00	5.2000E+00	4.8000E+00	7.4000E+00
		Rastrigin	9.3740E+02	1.0000E+03	9.4700E+02	9.0213E+02
		Rosenbrock	6.6340E+02	5.9040E+02	7.8470E+02	8.9360E+02
		Sphere	8.2110E+02	9.8140E+02	9.4450E+02	1.0000E+03
	25	Ackley	1.0000E+03	1.0000E+03	1.0000E+03	1.0000E+03
		Alpine	9.6000E+00	5.3000E+00	5.7000E+00	1.4040E+02
		Rastrigin	1.0000E+03	1.0000E+03	1.0000E+03	1.0000E+03
		Rosenbrock	1.0000E+03	1.0000E+03	1.0000E+03	1.0000E+03
		Sphere	1.0000E+03	1.0000E+03	1.0000E+03	1.0000E+03

Comparison of PSOs with Mean Iterations

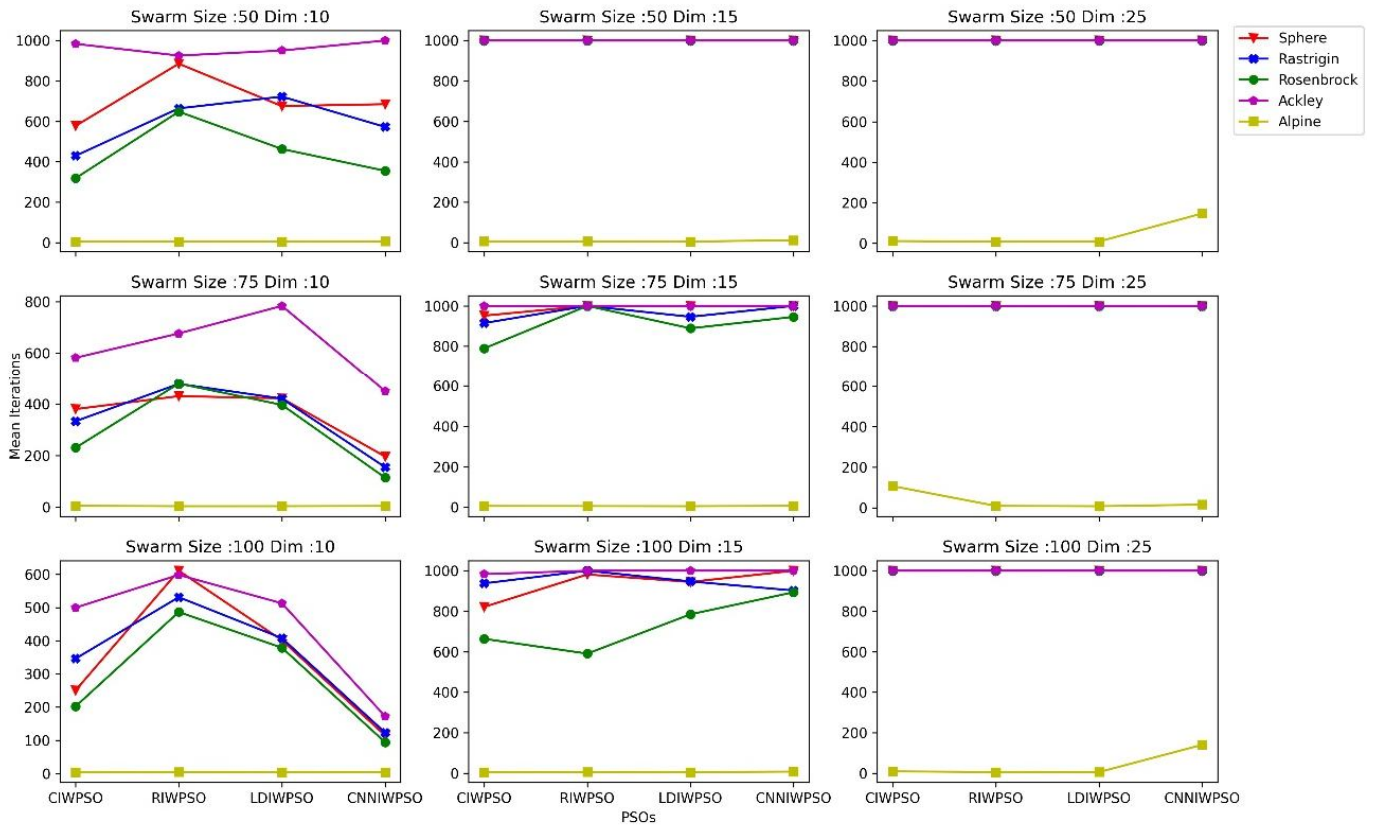


Figure 8: Mean Iterations computed for the swarm size of 50, 75 and 100 with dimensions 10, 15 and 25

From the Table 6 and Figure 8, the mean iterations for CNNIWPSO are better when compared to other models for the Ackley and Sphere benchmark function with Swarm size 100 and dimension 10. In case of Alpine benchmark function,

CNNIWPSO is superior with increasing swarm sizes and dimensions. Analogously, CNNIWPSO given better results with Rosenbrock and Rastrigin benchmark function.

Table 7: Computed Mean Time (in seconds) for PSOs with respect different Swarm Sizes and Dimensions. (Figure 9)

Swarm Size	Dimension	BMF	PSOs				
			CIWPSO	RIWPSO	LDIWPSO	CNNIWPSO	
50	10	Ackley	2.0295E+00	1.9307E+00	2.0037E+00	6.9546E+00	
		Alpine	1.0961E-02	1.0921E-02	1.1749E-02	4.3107E-02	
		Rastrigin	8.8939E-01	1.3824E+00	1.5142E+00	3.8330E+00	
		Rosenbrock	6.5593E-01	1.3430E+00	9.6360E-01	2.3669E+00	
		Sphere	1.1888E+00	1.8592E+00	1.4097E+00	4.5820E+00	
	15	Ackley	2.6649E+00	2.6728E+00	2.7205E+00	8.6142E+00	
		Alpine	2.0041E-02	1.9509E-02	1.6608E-02	1.0806E-01	
		Rastrigin	2.6619E+00	2.6897E+00	2.7002E+00	9.4174E+00	
		Rosenbrock	2.6658E+00	2.6761E+00	2.7159E+00	9.1439E+00	
		Sphere	2.6677E+00	2.6917E+00	2.6823E+00	8.6708E+00	
		25	Ackley	3.8367E+00	3.8808E+00	3.8718E+00	1.3050E+02
			Alpine	4.3331E-02	3.3114E-02	3.5782E-02	1.8389E+00
Rastrigin	3.8511E+00		3.8603E+00	3.8848E+00	1.3306E+01		
Rosenbrock	3.8531E+00		3.8625E+00	3.8789E+00	1.2913E+01		
Sphere	3.8381E+00		3.8649E+00	3.8781E+00	1.3015E+01		
75	10	Ackley	1.7874E+00	2.1270E+00	2.4482E+00	4.4598E+00	
		Alpine	2.1256E-02	1.4100E-02	1.3580E-02	5.2345E-02	
		Rastrigin	1.0236E+00	1.4727E+00	1.2995E+00	1.5286E+00	
		Rosenbrock	7.0779E-01	1.4837E+00	1.2172E+00	1.0991E+00	
		Sphere	1.1695E+00	1.3314E+00	1.2961E+00	1.8666E+00	
	15	Ackley	3.9970E+00	3.9939E+00	3.9978E+00	1.2702E+01	
		Alpine	2.8363E-02	2.6107E-02	2.2794E-02	9.0737E-02	
		Rastrigin	3.6478E+00	3.9483E+00	3.7910E+00	1.2878E+01	
		Rosenbrock	3.1362E+00	3.9638E+00	3.6008E+00	1.2001E+01	
		Sphere	3.7904E+00	3.9833E+00	3.9956E+00	1.2969E+01	
		25	Ackley	5.7376E+00	5.7569E+00	5.7887E+00	1.9124E+01
			Alpine	6.0931E-01	5.2966E-02	4.3281E-02	2.7859E-01
Rastrigin	5.7585E+00		5.7611E+00	5.7864E+00	1.9204E+01		
Rosenbrock	5.7413E+00		5.7805E+00	5.7798E+00	2.5188E+01		
Sphere	5.7431E+00		5.7654E+00	5.7950E+00	1.8553E+01		
100	10	Ackley	2.0458E+00	2.4620E+00	2.1090E+00	2.1613E+00	
		Alpine	1.7542E-02	1.9902E-02	1.7649E-02	5.8501E-02	
		Rastrigin	1.4218E+00	2.1806E+00	1.6717E+00	1.6749E+00	
		Rosenbrock	8.2860E-01	1.9936E+00	1.5493E+00	1.4291E+00	
		Sphere	1.0292E+00	2.5103E+00	1.6459E+00	1.5085E+00	
	15	Ackley	5.1951E+00	5.2854E+00	5.3153E+00	1.6518E+01	
		Alpine	2.7135E-02	3.0368E-02	2.8221E-02	1.2297E-01	
		Rastrigin	4.9696E+00	5.3201E+00	5.0698E+00	1.6802E+01	
		Rosenbrock	3.4887E+00	3.1670E+00	4.1914E+00	1.4729E+01	
		Sphere	4.3385E+00	5.2085E+00	5.0122E+00	1.6925E+01	
		25	Ackley	7.6393E+00	7.6793E+00	7.6874E+00	2.4671E+01
			Alpine	7.8720E-02	4.2787E-02	4.6770E-02	3.3699E+00
Rastrigin	7.6441E+00		7.6861E+00	7.6774E+00	2.5255E+01		
Rosenbrock	7.6414E+00		7.6490E+00	7.6710E+00	2.4731E+01		
Sphere	7.6353E+00		7.6774E+00	7.6694E+00	2.4498E+01		

Comparison of PSOs with Mean Time (in Secs)

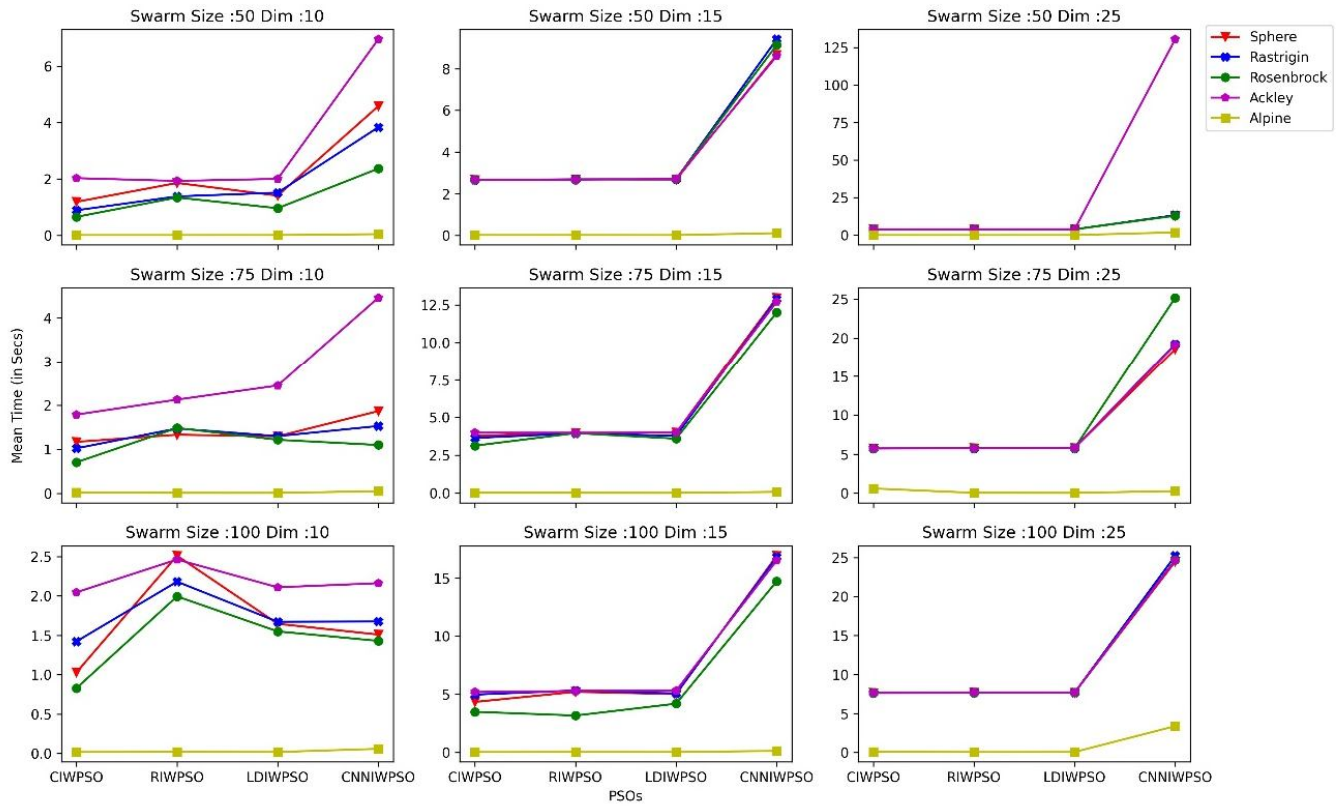


Figure 9: Mean Time computed for the swarm size of 50, 75 and 100 with dimensions 10, 15 and 25

From the Table 7 and Figure 9, the mean time for CNNIWPSO is non-paying when compared with other methods for the benchmarks considered.

8: Computed MSE for PSOs with respect to different Swarm Sizes and Dimensions. (Figure 10)

Swarm Size	Dimension	BMF	PSOs				
			CIWPSO	RIWPSO	LDIWPSO	CNNIWPSO	
50	10	Ackley	2.0873E+03	8.6493E+02	5.2874E+03	2.3442E+03	
		Alpine	6.1994E+03	4.4939E+03	6.6229E+03	4.9175E+03	
		Rastrigin	2.5925E+00	9.4619E-01	3.8737E+00	2.5128E+00	
		Rosenbrock	1.3862E-01	3.8367E-02	1.7532E-01	6.5912E-02	
		Sphere	2.8601E+00	8.6317E-01	4.1424E+00	1.9725E+00	
	15	Ackley	7.9797E+03	4.2119E+03	1.7364E+04	1.1199E+04	
		Alpine	2.0962E+04	1.2367E+04	2.4993E+04	1.6756E+04	
		Rastrigin	5.0303E+00	2.5284E+00	1.1421E+01	9.1878E+00	
		Rosenbrock	1.7988E-01	6.3481E-02	2.8338E-01	1.8763E-01	
		Sphere	7.4684E+00	2.7028E+00	1.0243E+01	6.1282E+00	
		25	Ackley	4.5230E+04	2.1847E+04	7.1614E+04	5.0969E+04
			Alpine	4.4880E+04	1.2506E+04	6.2091E+04	6.1277E+04
Rastrigin	2.9302E+01		1.4082E+01	5.7999E+01	3.6505E+01		
Rosenbrock	7.9662E-01		3.6970E-01	1.3404E+00	8.0071E-01		
Sphere	3.2779E+01		1.5244E+01	5.4221E+01	3.5839E+01		
75	10	Ackley	3.2658E+03	1.1920E+03	3.7526E+03	1.6681E+03	
		Alpine	4.2792E+03	5.0463E+03	6.3290E+03	5.5003E+03	
		Rastrigin	3.5056E+00	2.9337E+00	4.3236E+00	2.6990E+00	
		Rosenbrock	1.2335E-01	1.1267E-01	1.3074E-01	6.0666E-02	
		Sphere	2.7966E+00	1.9399E+00	6.6485E+00	2.2977E+00	
	15	Ackley	6.4571E+03	2.8732E+03	1.1255E+04	8.6502E+03	
		Alpine	1.8329E+04	1.2123E+04	2.2842E+04	2.1777E+04	
		Rastrigin	6.0688E+00	1.8643E+00	8.0952E+00	6.2620E+00	
		Rosenbrock	1.5713E-01	5.2273E-02	2.3691E-01	1.2508E-01	
		Sphere	4.9168E+00	2.0028E+00	9.2308E+00	5.5679E+00	
		25	Ackley	3.9437E+04	1.5679E+04	6.2383E+04	4.6779E+04
			Alpine	1.3129E+04	3.3265E+04	6.8909E+04	5.2392E+04
Rastrigin	2.6611E+01		9.2596E+00	4.0828E+01	2.9321E+01		
Rosenbrock	5.4986E-01		2.5714E-01	1.0092E+00	8.2196E-01		
Sphere	2.5052E+01		1.0693E+01	3.9131E+01	2.7746E+01		
100	10	Ackley	2.4215E+03	2.5293E+03	4.5860E+03	2.3795E+03	
		Alpine	7.5392E+03	3.3331E+03	6.8450E+03	6.4054E+03	
		Rastrigin	1.9976E+00	2.0451E+00	4.0036E+00	2.0872E+00	
		Rosenbrock	1.0102E-01	7.5227E-02	1.0819E-01	5.0906E-02	
		Sphere	2.7598E+00	3.1286E+00	4.9039E+00	1.6739E+00	
	15	Ackley	6.0384E+03	2.1032E+03	1.1749E+04	6.4390E+03	
		Alpine	2.1144E+04	1.1666E+04	2.0460E+04	1.9025E+04	
		Rastrigin	4.2744E+00	1.4981E+00	7.0306E+00	4.1351E+00	
		Rosenbrock	1.3655E-01	3.9989E-02	2.5110E-01	1.6966E-01	
		Sphere	5.1906E+00	1.7117E+00	8.3602E+00	5.5858E+00	
		25	Ackley	3.2916E+04	1.2923E+04	4.7496E+04	3.3739E+04
			Alpine	4.6683E+04	1.1999E+04	6.6734E+04	7.2207E+04
Rastrigin	1.9194E+01		9.2106E+00	3.5866E+01	2.1958E+01		
Rosenbrock	5.2088E-01		2.2935E-01	7.7648E-01	7.1243E-01		
Sphere	1.8964E+01		9.0607E+00	3.1134E+01	1.7543E+01		

Comparison of PSOs with MSE

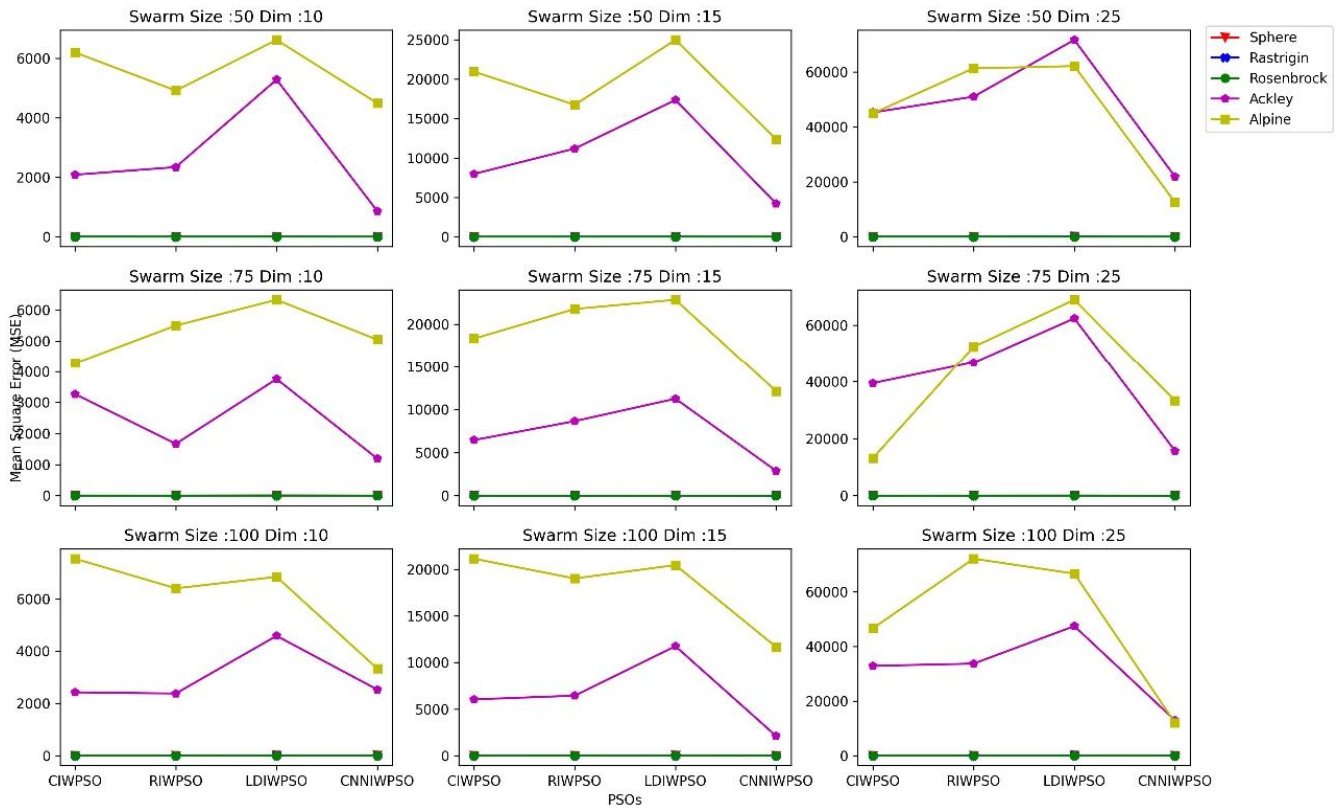


Figure 10: Mean Square Error (MSE) computed for the swarm size of 50, 75 and 100 with dimensions 10, 15 and 25

Table 9: Computed RMSE for PSOs with respect different Swarm Sizes and Dimensions. (Figure 11)

Swarm Size	Dimension	BMF	PSOs				
			CIWPSO	RIWPSO	LDIWPSO	CNNIWPSO	
50	10	Ackley	4.5687E+01	2.9410E+01	7.2714E+01	4.8417E+01	
		Alpine	7.8736E+01	6.7036E+01	8.1381E+01	7.0125E+01	
		Rastrigin	1.6101E+00	9.7272E-01	1.9682E+00	1.5852E+00	
		Rosenbrock	3.7232E-01	1.9587E-01	4.1871E-01	2.5673E-01	
		Sphere	1.6912E+00	9.2907E-01	2.0353E+00	1.4045E+00	
	15	Ackley	8.9329E+01	6.4899E+01	1.3177E+02	1.0582E+02	
		Alpine	1.4478E+02	1.1121E+02	1.5809E+02	1.2944E+02	
		Rastrigin	2.2428E+00	1.5901E+00	3.3796E+00	3.0311E+00	
		Rosenbrock	4.2412E-01	2.5196E-01	5.3234E-01	4.3316E-01	
		Sphere	2.7328E+00	1.6440E+00	3.2005E+00	2.4755E+00	
		25	Ackley	2.1267E+02	1.4781E+02	2.6761E+02	2.2576E+02
			Alpine	2.1185E+02	1.1183E+02	2.4918E+02	2.4754E+02
Rastrigin	5.4131E+00		3.7526E+00	7.6157E+00	6.0420E+00		
Rosenbrock	8.9254E-01		6.0803E-01	1.1578E+00	8.9483E-01		
Sphere	5.7253E+00		3.9044E+00	7.3635E+00	5.9866E+00		
75	10	Ackley	5.7147E+01	3.4526E+01	6.1259E+01	4.0842E+01	
		Alpine	6.5416E+01	7.1037E+01	7.9555E+01	7.4164E+01	
		Rastrigin	1.8723E+00	1.7128E+00	2.0793E+00	1.6429E+00	
		Rosenbrock	3.5122E-01	3.3567E-01	3.6158E-01	2.4631E-01	
		Sphere	1.6723E+00	1.3928E+00	2.5785E+00	1.5158E+00	
	15	Ackley	8.0356E+01	5.3602E+01	1.0609E+02	9.3006E+01	
		Alpine	1.3538E+02	1.1010E+02	1.5114E+02	1.4757E+02	
		Rastrigin	2.4635E+00	1.3654E+00	2.8452E+00	2.5024E+00	
		Rosenbrock	3.9639E-01	2.2863E-01	4.8673E-01	3.5367E-01	
		Sphere	2.2174E+00	1.4152E+00	3.0382E+00	2.3596E+00	
		25	Ackley	1.9859E+02	1.2522E+02	2.4977E+02	2.1628E+02
			Alpine	1.1458E+02	1.8239E+02	2.6251E+02	2.2889E+02
Rastrigin	5.1586E+00		3.0430E+00	6.3897E+00	5.4149E+00		
Rosenbrock	7.4153E-01		5.0709E-01	1.0046E+00	9.0662E-01		
Sphere	5.0052E+00		3.2700E+00	6.2555E+00	5.2674E+00		
100	10	Ackley	4.9209E+01	5.0292E+01	6.7720E+01	4.8780E+01	
		Alpine	8.6829E+01	5.7733E+01	8.2734E+01	8.0034E+01	
		Rastrigin	1.4134E+00	1.4301E+00	2.0009E+00	1.4447E+00	
		Rosenbrock	3.1783E-01	2.7428E-01	3.2893E-01	2.2562E-01	
		Sphere	1.6613E+00	1.7688E+00	2.2145E+00	1.2938E+00	
	15	Ackley	7.7707E+01	4.5860E+01	1.0839E+02	8.0244E+01	
		Alpine	1.4541E+02	1.0801E+02	1.4304E+02	1.3793E+02	
		Rastrigin	2.0675E+00	1.2240E+00	2.6515E+00	2.0335E+00	
		Rosenbrock	3.6953E-01	1.9997E-01	5.0110E-01	4.1190E-01	
		Sphere	2.2783E+00	1.3083E+00	2.8914E+00	2.3634E+00	
		25	Ackley	1.8143E+02	1.1368E+02	2.1794E+02	1.8368E+02
			Alpine	2.1606E+02	1.0954E+02	2.5833E+02	2.6871E+02
Rastrigin	4.3811E+00		3.0349E+00	5.9888E+00	4.6859E+00		
Rosenbrock	7.2172E-01		4.7890E-01	8.8118E-01	8.4406E-01		
Sphere	4.3548E+00		3.0101E+00	5.5798E+00	4.1884E+00		

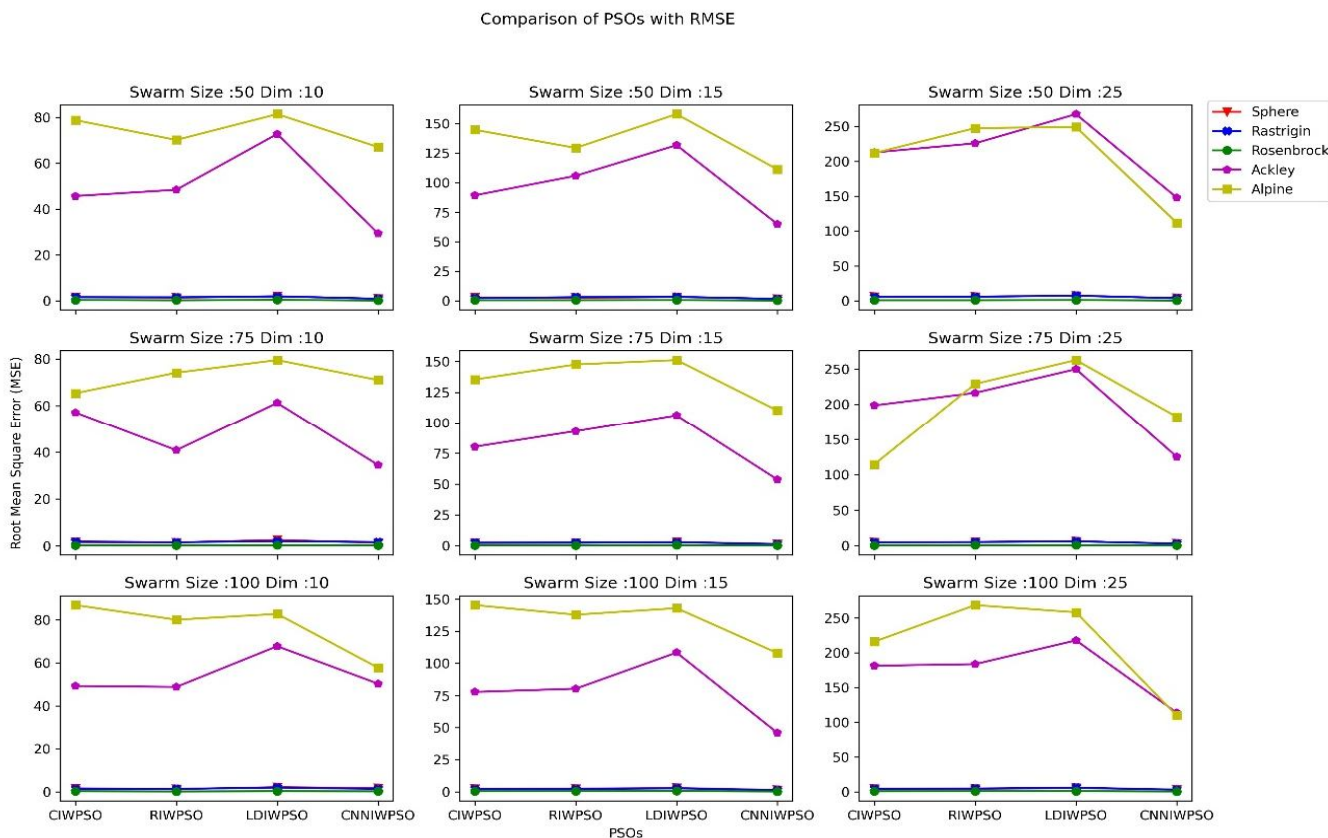


Figure 11: Root Mean Square Error (RMSE) computed for the swarm size of 50, 75 and 100 with dimensions 10, 15 and 25

The MSE and RMSE values are finer for CNNIWPSO, from the Table 8, Table 9, Figure 10 and Figure 11 for the benchmark functions.

CNNIWPSO is delivered better results over CIWPSO, RIWPSO and LDIWPSO from the perspective of best error, mean error, variance & standard deviation, mean iterations and, MSE & RMSE.

6. CONCLUSION AND FUTURE WORK

This paper presents a new inertia weight based PSO using Convolutional Neural Networks (CNN). A set of 5 most common optimization test problems and eight criteria are considered. The overall outcome shows that CNNIWPSO is progressive with CIWPSO, RIWPSO and LDIWPSO.

In future, the parameters of CNN are tuned to improve the performance and also experiments with larger swarm sizes and dimension are conducted to understand CNNIWPSO performance. There is scope for using CNNIWPSO in the optimization of various applications presented in [22] –[31].

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